

Form No. MGT – 9

Extracts of Annual Return

As on the Financial Year ended on March 31, 2019

(Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of Companies (Management and Administration) Rules, 2014)

I. REGISTRATION AND OTHER DETAILS

Sr. No.	Particulars	Details
i	CIN	L24231GJ1995PLC024809
ii	Registration Date	28/02/1995
iii	Name of the Company	SWORD AND SHIELD PHARMA LIMITED
iv	Category / Sub-Category of the Company	PUBLIC COMPNAY LIMITED BY SHARES
v	Address of the Registered office and contact details	55, World Business House, Near Parimal Garden, Ambawadi, Ahmedabad - 380 006 Tel. : +91 9712928420 E-mail : swordnshield1995@gmail.com Website : www.swordnshieldpharma.com
vi	Whether listed company Yes / No	Yes
vii	Name, Address and Contact details of Registrar and Transfer Agent, if any	MCS Share Transfer Agent Limited 201, Shatdal Complex, 2nd Floor, Opp. Bata Show Room, Ashram Road, Ahmedabad - 380 009 Tel. : +91 79-26580461 / 62 / 63 E-mail : mcsahmd@gmail.com; mcsstaahmd@gmail.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Pharmaceutical	996117	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and address of the Company	CIN / GLN	Holding / Subsidiary /Associate	% of shares held	Applicable Section
Not Applicable					

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i). Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoter									
1. Indian									
a. Individual / HUF	340000	0	340000	6.60	340000	0	340000	6.60	0.00
b. Central Govt.	0	0	0	0.00	0	0	0	0.00	0.00
c. State Govt.(s)	0	0	0	0.00	0	0	0	0.00	0.00
d. Bodies Corporate	0	0	0	0.00	0	0	0	0.00	0.00
e. Banks / FI	0	0	0	0.00	0	0	0	0.00	0.00
f. Any Other....	0	0	0	0.00	0	0	0	0.00	0.00
Sub-Total (A)(1):	340000	0	340000	6.60	340000	0	340000	6.60	0.00
2. Foreign									
a. NRIs – Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b. Other – Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c. Bodies Corporate	0	0	0	0.00	0	0	0	0.00	0.00
d. Banks / FI	0	0	0	0.00	0	0	0	0.00	0.00
e. Any Other....	0	0	0	0.00	0	0	0	0.00	0.00
Sub-Total (A)(2):	0	0	0	0.00	0	0	0	0.00	0.00
Total Shareholding of	340000	0	340000	6.60	340000	0	340000	6.60	0.00

B. Public Shareholding										
1. Institutions										
a.	Mutual Funds / UTI	0	0	0	0.00	0	0	0	0.00	0.00
b.	Banks / FI	7400	0	7400	0.14	7400	0	7400	0.14	0.00
c.	Central Govt.	0	0	0	0.00	0	0	0	0.00	0.00
d.	State Govt.(s)	0	0	0	0.00	0	0	0	0.00	0.00
e.	Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
f.	Insurance Companies	0	0	0	0.00	0	0	0	0.00	0.00
g.	FIs	0	0	0	0.00	0	0	0	0.00	0.00
h.	Foreign Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
i.	Others (specify)	0	0	0	0.00	0	0	0	0.00	0.00
Sub-Total (B)(1):		7400	0	7400	0.14	7400	0	7400	0.14	0.00

2. Non-Institutions										
a.	Bodies Corporate	411694	0	411694	7.99	429753	0	429753	8.34	0.35
b. Individuals										
i)	Individual Shareholders holding nominal share capital upto ₹ 2 lakhs	1347994	100	1348094	26.17	1327225	0	1327225	25.77	(0.41)
ii)	Individual Shareholders holding nominal share capital in excess of ₹ 2 lakhs	1873761	977366	2851127	55.36	2849218	0	2849218	55.32	(0.04)
c. Others (specify)										
i)	Trusts	0	0	0	0.00	0	0	0	0.00	0.00
ii)	HUF	183525	0	183525	3.56	189089	0	189089	3.67	0.11
iii)	NRI / OCBs	8760	0	8760	0.17	7915	0	7915	0.15	(0.02)
iv)	Director and Director's Relative	0	0	0	0.00	0	0	0	0.00	0.00
v)	Limited Liability Partnership	0	0	0	0.00	0	0	0	0.00	0.00
vi)	Clearing Members / Clearing House	0	0	0	0.00	0	0	0	0.00	0.00
vii)	Foreign Bodies	0	0	0	0.00	0	0	0	0.00	0.00
viii)	Foreign Portfolio Investor (Corporate)	0	0	0	0.00	0	0	0	0.00	0.00
ix)	Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
Sub-Total (B)(2):		3825734	977466	4803200	93.26	4803200	0	4803200	93.26	(0.00)
Total Public Shareholding		3833134	977466	4810600	93.40	4810600	0	4810600	93.40	(0.00)

C.	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0	0	0	0.00	0.00
Grand Total (A+B+C)		4173134	977466	5150600	100.00	5150600	0	5150600	100.00	0.00

ii). Shareholding of Promoters

Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
PRAVINBHAI MANEKLAL PATEL	229400	4.45	0.00	229400	4.45	0.00	0.00
JYOTSNABEN PRAVINBHAI PATEL	110600	2.15	0.00	110600	2.15	0.00	0.00
Total	340000	6.60	0.00	340000	6.60	0.00	0.00

iii). Change in Promoters' Shareholding (Please specify, if there is no change)

Particulars	Date	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1. PRAVINBHAI MANEKLAL PATEL					
At the beginning of the year	01.04.2018	229400	4.45	229400	4.45
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):		No Change			
At the end of the year	31.03.2019	229400	4.45	229400	4.45

2. JYOTSNABEN PRAVINBHAI PATEL					
At the beginning of the year	01.04.2018	110600	2.15	110600	2.15
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	110600	2.15	110600	2.15

iv). Shareholding Pattern of Top Ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs)

Particulars	Date	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1. SHAILESHKUMAR HANSRAJBHAI PATEL					
At the beginning of the year	01.04.2018	215280	4.18	215280	4.18
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	215280	4.18	215280	4.18

2. PROBI FINCAP PVT LTD					
At the beginning of the year	01.04.2018	200000	3.88	200000	3.88
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	200000	3.88	200000	3.88

3. SANJAYKUMAR SOMABHAI PATEL					
At the beginning of the year	01.04.2018	150000	2.91	150000	2.91
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	150000	2.91	150000	2.91

4. JITENDRAKUMAR VITHTHALBHAI PATEL					
At the beginning of the year	01.04.2018	147614	2.87	147614	2.87
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	147614	2.87	147614	2.87

5. SANJAYBHAI MODI					
At the beginning of the year	01.04.2018	141566	2.75	141566	2.75
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	141566	2.75	141566	2.75

6. JASHODABEN JAYANTILAL PATEL					
At the beginning of the year	01.04.2018	137730	2.67	137730	2.67
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	137730	2.67	137730	2.67

7. PUNITABEN BHARATBHAI PATEL					
At the beginning of the year	01.04.2018	136980	2.66	136980	2.66
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	136980	2.66	136980	2.66

8. CHIRAGKUMAR SOMABHAI PATEL					
At the beginning of the year	01.04.2018	131730	2.56	131730	2.56
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	131730	2.56	131730	2.56

9. JAGDISHKUMAR AMRUTLAL AKHANI					
At the beginning of the year	01.04.2018	121581	2.36	121581	2.36
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	121581	2.36	121581	2.36

10. PRAKASHKUMAR PATEL					
At the beginning of the year	01.04.2018	120300	2.34	120300	2.34
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	120300	2.34	120300	2.34

v). Shareholding of Directors and Key Managerial Personnel

Particulars	Date	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1. PRAVINBHAI MANEKLAL PATEL - MANAGING DIRECTOR					
At the beginning of the year	01.04.2018	229400	4.45	229400	4.45
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	229400	4.45	229400	4.45
2. JYOTSNABEN PRAVINBHAI PATEL - WOMAN DIRECTOR (EXECUTIVE)					
At the beginning of the year	01.04.2018	110600	2.15	110600	2.15
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	110600	2.15	110600	2.15

3. JAYESHKUMAR MADHAVLAL PATEL - INDEPENDENT DIRECTOR					
At the beginning of the year	01.04.2018	0	0.00	0	0.00
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	0	0.00	0	0.00

4. DILIPKUMAR CHUNILAL PATEL - INDEPENDENT DIRECTOR					
At the beginning of the year	01.04.2018	0	0.00	0	0.00
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	0	0.00	0	0.00

5. PARESHKUMAR KANTILAL PATEL - INDEPENDENT DIRECTOR					
At the beginning of the year	01.04.2018	0	0.00	0	0.00
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	0	0.00	0	0.00

6. KANJIBHAI MANSANGBHAI CHAUDHARY - INDEPENDENT DIRECTOR					
At the beginning of the year	01.04.2018	0	0.00	0	0.00
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	0	0.00	0	0.00

7. DARSHIL HIRANANDANI - COMPANY SECRETARY (Resigned w.e.f. April 14, 2018)					
At the beginning of the year	01.04.2018	0	0.00	0	0.00
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	0	0.00	0	0.00

8. POOJA KADAM - COMPANY SECRETARY (Appointed w.e.f. June 13, 2018 and Resigned w.e.f. March 20, 2019)					
At the beginning of the year	01.04.2018	0	0.00	0	0.00
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	0	0.00	0	0.00

9. ANKITABEN KANUBHAI LUNAGARIYA - COMPANY SECRETARY (Appointed w.e.f. March 20, 2019)					
At the beginning of the year	01.04.2018	0	0.00	0	0.00
Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc):	No Change				
At the end of the year	31.03.2019	0	0.00	0	0.00

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(₹ in lakhs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i).Principal Amount	0.00	0.00	0.00	0.00
ii).Interest due but not paid	0.00	0.00	0.00	0.00
iii).Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	0.00	0.00	0.00	0.00
Change in Indebtedness during the financial year				
Addition	0.00	0.00	0.00	0.00
Reduction	0.00	0.00	0.00	0.00
Net Change	0.00	0.00	0.00	0.00
Indebtedness at the end of the financial year				
i).Principal Amount	0.00	0.00	0.00	0.00
ii).Interest due but not paid	0.00	0.00	0.00	0.00
iii).Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	0.00	0.00	0.00	0.00

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager: (Not Applicable)

Sr. No.	Particulars of Remuneration	Name of Director	Total Amount (₹)
1.	Gross Salary		
(a).	Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	0.00	0.00
(b).	Value of perquisites under Section 17(2) Income Tax Act, 1961	0.00	0.00
(c).	Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	0.00	0.00
2.	Stock Options	0.00	0.00
3.	Sweat Equity	0.00	0.00
4.	Commission	0.00	0.00
5.	Others, please specify	0.00	0.00
	Total (A)	0.00	0.00
	Ceiling as per the Act	Within the Limits of Schedule V of the Companies Act, 2013 and limit approved by the members	

B. Remuneration to other Directors (Not Applicable)

1. Independent Directors

Sr. No.	Particulars of Remuneration	Name of Director			
	Fee for attending Board/Committee Meetings	0.00	0.00	0.00	0.00
	Commission	0.00	0.00	0.00	0.00
	Others, please specify	0.00	0.00	0.00	0.00
	Total (B)(1)	0.00	0.00	0.00	0.00

2. Other Non Executive Directors

Sr. No.	Particulars of Remuneration	Name of Director			
	Fee for attending Board/Committee Meetings	0.00	0.00	0.00	0.00
	Commission	0.00	0.00	0.00	0.00
	Others, please specify	0.00	0.00	0.00	0.00
	Total (B)(2)	0.00	0.00	0.00	0.00
	Total (B)= (B)(1)+ (B)(2)	0.00	0.00	0.00	0.00

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sr. No.	Particulars of Remuneration	Key Managerial Personnel			Total Amount (₹)
		DARSHIL HIRANANDANI	POOJA KADAM	ANKITA LUNAGARIYA	
		CS (upto 14/04/2018)	CS (from 13/06/2018 to 20/03/2019)	CS (w.e.f. 20/03/2019)	
1.	Gross Salary				
(a).	Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	17000.00	205881.00	8516.00	231397.00
(b).	Value of perquisites under Section 17(2) Income Tax Act, 1961	0.00	0.00	0.00	0.00
(c).	Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	0.00	0.00	0.00	0.00
2.	Stock Options	0.00	0.00	0.00	0.00
3.	Sweat Equity	0.00	0.00	0.00	0.00
4.	Commission	0.00	0.00	0.00	0.00
5.	Others, please specify	0.00	0.00	0.00	0.00
	Total (A)	17000.00	205881.00	8516.00	231397.00

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES: NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty /Punishment /Compounding fees imposed	Authority [RD/ NCLT/COURT]	Appeal made, if any (give details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

For and on behalf of the Board of Directors

Date : August 14, 2019
Place : Ahmedabad

Pravinbhai Patel
Managing Director
DIN 01877446

Jyotsnaben Patel
Director
DIN 01877469